

## **Proposed Amendments to Retail Settlement Code**

The Board proposes to amend the Retail Settlement Code by amending section 10.5.3 and adding a new Chapter 14 as follows:

### **Chapter 10**

Section 10.5.3 is amended by deleting the first two sentences in the first paragraph.

### **Chapter 14**

#### **14.1 General**

**14.1.1** For the purposes of this Chapter, the “customer file” means the customer account number, customer name and postal code of a consumer.

**14.1.2** All filings and reports made under this Chapter shall be made in accordance with the format approved by the Board.

#### **14.2 Data Scrubbing**

**14.2.1** A retailer shall file on or before January 25, 2002 with each distributor the customer account number, customer name and postal code of every consumer in the distributor’s service area with whom it has entered into a contract and which it wishes to serve as of the date subsection 26(1) of the *Electricity Act* comes into force.

**14.2.2** A distributor shall issue a report on or before February 8, 2002 to a retailer who files in accordance with section 14.2.1 setting out which customer files have been accepted and which customer files have been rejected.

**14.2.3** A distributor shall accept a customer file where:

(a) the customer account number filed matches the records of the distributor or matches a prior customer account number for a person who is a current customer of the distributor at the same address as when the customer was served under the prior customer account number; and

(b) one of either the customer name or the postal code matches the information in the records of the distributor.

**14.2.4** Where a distributor determines that the customer account number filed matches a prior customer account number for a person who is a current customer of the distributor at the same address as when the customer was served under the prior customer account number and the customer file is

accepted, the distributor in reporting this acceptance shall provide the retailer with the current account number.

**14.2.5** Where a distributor rejects a customer file, the distributor shall report the reason for the rejection by advising the retailer that:

- (a) the customer account number is incorrect;
- (b) the customer account number is correct but the customer name and postal code are incorrect; or
- (c) the account is inactive.

**14.2.6** A retailer may on or before February 15, 2002 re-file with the distributor revised information with respect to any customer files which have been rejected.

**14.2.7** A distributor shall issue a report on or before March 1, 2002 to a retailer who files in accordance with section 14.2.6 setting out which customer files have been accepted and which customer files have been rejected.

**14.2.8** Sections 14.2.3, 14.2.4 and 14.2.5 apply with necessary modifications to a filing made under section 14.2.6.

### **14.3: Multiple Contract Resolution**

**14.3.1** The retailers listed below shall agree to a third party (the "third party") who shall be responsible for reviewing information with respect to contracts to determine circumstances in which more than one contract has been entered into with respect to a single customer account and to decide which of these contracts will be accepted.

Coral Energy Canada Inc.  
Direct Energy Marketing Limited  
ECNG Inc.  
Enron Canada Corp.  
G6 Energy Corp.  
Ontario Hydro Energy Corp.  
Ontario Power Generation Inc.  
Toronto Hydro Energy Services Inc.

**14.3.2** A retailer shall file with the third party on or before March 5, 2002 the account number, customer name, postal code and date that a contract was entered into with respect to every consumer in the distributor's service area with whom it has entered into a contract and which it wishes to serve as of the date subsection 26(1) of the *Electricity Act* comes into force and with respect to which the relevant contract:

- (a) has been accepted under section 14.2; or
- (b) was entered into on or after December 1, 2001.

**14.3.3** The third party shall report to a retailer on or before March 15, 2002 on which contracts have been given priority and which contracts have not been given priority.

**14.3.4** Where the third party determines that a consumer has entered into more than one contract, the third party shall give priority to the contract which was entered into first and not give priority to any contracts which were entered into subsequently and where the third party determines that a consumer has entered into only one contract, that contract shall be given priority.

**14.3.5** The third party shall obtain payment for its services from all retailers who file with it under section 14.3.2 in the manner and the amount determined in accordance with an agreement between it and the retailers listed in section 14.3.1 on the condition that the methodology for determining what amount each retailer is obligated to pay to the third party shall not distinguish between retailers listed in section 14.3.1 and other retailers who file in accordance with section 14.3.2.

#### **14.4: Contracts not invalidated**

**14.4.1** The rejection of a customer file under section 14.2 or the not giving of priority to a contract under section 14.3 in no way invalidates the contract.

#### **14.5: Submitting STRs**

**14.5.1** Subject to sections 14.5.2 and 14.5.4 set out below, no STR may be submitted to a distributor prior to the date that subsection 26(1) of the *Electricity Act* comes into force.

**14.5.2** A STR for historical customer-specific information with respect to a consumer who has entered into a contract with a retailer may be submitted to a distributor between March 4, 2002 and March 15, 2002 inclusive.

**14.5.3** In the period between March 4, 2002 and March 15, 2002, no retailer shall submit STRs on any single business day for more than 10% of its contracts in the distributor's service area.

**14.5.4** Where a contract has been given priority under section 14.3, a STR with respect to that contract requesting to transfer a consumer from SSS to a competitive retailer may be submitted to a distributor between March 25, 2002 and April 8, 2002 inclusive.

**14.5.5** In the period between March 25, 2002 and April 8, 2002, no retailer shall submit STRs on any single business day for more than 10% of its contracts in the distributor's service area which have been given priority under section 14.3.

**14.5.6** Where an STR is filed contrary to section 14.5, the distributor shall not process the STR and shall promptly return it to the retailer.

#### **14.6: Applicability of Chapter 10**

**14.6.1** Subject to this Chapter including the specific provisions set out below, Chapter 10 applies with necessary modifications to the submission of STRs.

**14.6.2** The references to five business days in the first paragraph of section 10.4 and the fourth paragraph of section 10.6.3 shall be ten business days for those STRs submitted in accordance with this Chapter.

**14.6.3** Despite section 10.5.1, a distributor shall not be required to undertake a special meter read with respect to a STR submitted in accordance with this Chapter.

**14.6.4** Despite section 10.5.1, the transfer of a consumer with a MIST meter to a competitive retailer where an STR has been submitted in accordance with section 14.5.4 shall take effect on the date that subsection 26(1) of the *Electricity Act* comes into force.